

Research Article

NGS analysis of unexplained Community-Acquired Pneumonia (CAP) cases in South Korea

Sooyeon Lim^{1,2*}, Jae Kyung Lee⁴, Han Sol Lee¹, Ji Yun Noh¹⁻³, Joon Young Song¹⁻³, Hee Jin Cheong¹⁻³ and Woo Joo Kim^{1-3*}

¹Asia Pacific Influenza Institute, Korea University College of Medicine, Seoul, Republic of Korea

²Vaccine Innovation Center-KU Medicine, Seoul, Republic of Korea

³Department of Internal Medicine, Korea University College of Medicine, Seoul, Republic of Korea

⁴Department of Biomedical Sciences, BK21 PLUS program, College of Medicine, Korea University Guro Hospital, Seoul 08308, Korea

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*Corresponding authors: Woo Joo Kim, MD, PhD, Department of Internal Medicine, Korea University College of Medicine, Guro Hospital, Gurodong-ro 148, Guro-gu, Seoul 08308, Republic of Korea. Tel.: +82 2 2626 3052; Fax: +82 2 2626 1105; E-mail: wjkim@korea.ac.kr

Sooyeon Lim, PhD, Asia Pacific Influenza Institute, Korea University College of Medicine, Seoul, Republic of Korea, Guro Hospital, Gurodong-ro 148, Guro-gu, Seoul 08308, Republic of Korea. Tel.: +82 2 2626 1898; Fax: +82 2 2626 1105; E-mail: limsooy@korea.ac.kr

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Abstract

In general, pneumonia has known to be closely associated with respiratory infection of viruses, bacteria, fungi, and parasites. In South Korea, pneumonia is a leading cause of death that continues to threaten public health every year. Through the tertiary hospital-based influenza surveillance system in South Korea, nasopharyngeal swab specimens were obtained from patients with unexplained cases of Community-Acquired Pneumonia (CAP) between 2011 and 2017. After real-time PCR screening using respiratory viral panels, the samples were found negative for 16 common respiratory pathogens including adenovirus, influenza viruses, rhinovirus, respiratory syncytial virus, coronavirus, metapneumovirus, and parainfluenza viruses. The aim of this study was to investigate the patient microbiota and examine the etiology of CAP requiring hospitalization. The nasopharyngeal microbiome of adult patients during CAP was analyzed using Next-Generation Sequencing (NGS) on the Illumina MiSeq platform and a subsequent bioinformatics pipeline. Viral nucleic acids were nearly absent in the samples and failed to generate any sequence reads. On the other hand, samples were enriched with a diverse bacterial community, which was mainly comprised of *Corynebacterium*, *Staphylococcus*, *Streptococcus*, *Haemophilus*, *Moraxella*, *Acinetobacter*, and *Rhizobium* genera. Despite the diversity of bacterial composition, only a few dominant species with > 1% abundance were identified in each patient sample. Population analysis at the genus level showed that microbial diversity varied according to age, sex, and location.

Introduction

Community-Acquired Pneumonia (CAP) is an infectious disease that affects the global population as one of the leading causes of death [1]. World Health Organization has ranked lower respiratory infections like pneumonia, estimated to have caused approximately 3 million deaths worldwide, as the third leading cause of death in 2015. In Korea, according to “Causes of Death Statistics” released by Statistics Korea (KOSTAT), pneumonia has remained one of the top ten leading

causes of death in Korea since 1991, with a mortality rate that tends to increase annually [2]. The nasopharynx is a reservoir for various human pathogens responsible for respiratory infections, and the nasopharyngeal carriage of a specific arrangement of microorganisms has been used to determine an etiology for pneumonia [3]. As a result, understanding the nasopharyngeal microbiome is important in defining the main causative pathogens of respiratory infections like pneumonia. Unfortunately, not many studies have focused on investigating the nasopharyngeal carriage of pathogens during respiratory

infections like pneumonia, in addition to investigating the microbial etiology of CAP in Korea. Therefore, as part of a tertiary hospital-based surveillance system of influenza, eight hospitals in Korea participated in a prospective cohort study from 2011 to 2017 [4]. Nasopharyngeal swabs were obtained from patients admitted to the hospital with CAP syndrome. Since clinical findings are often insufficient for etiological determination, microbiological investigations were performed to provide supporting information for appropriate diagnosis and treatment [5]. This study was conducted primarily to provide a comprehensive overview of the diversity present in the nasopharyngeal microbiota of patients with CAP utilizing the Next-Generation Sequencing (NGS) technique.

Methods and materials

Nasopharyngeal swabs, stored in VTM, were collected from CAP patients requiring hospitalization at one of the eight participating hospitals in the cohort study from 2011 to 2017. Specimens from the following hospitals were included in this study: Korea University Guro and Ansan Hospitals, Inha University Hospital, Chungbuk University Hospital, Wonju Severance Christian Hospital, Busan University Hospital, Kyungbuk University Hospital, and the Catholic University of Korea St.Vincent's Hospital. The real-time PCR assay was performed as part of routine diagnostic testing for respiratory viruses. When negative results were confirmed for 16 common respiratory viruses, a total of 92 patient samples were selected for further analysis. The study was divided into two parts: real-time PCR analysis and NGS analysis utilizing the Illumina MiSeq platform.

Real-time PCR analysis

A real-time PCR technique using SYBR green was employed for targeted viral analysis. Primers were designed for the following viruses of interest: enterovirus D68, WU polyomavirus, KI polyomavirus, Pteropine orthoreovirus, and human parechovirus 1, 3, and 6. Primer sets used for qRT-PCR analysis are listed in Table 1 [6-10]. Negative results were confirmed for all viral targets from the Ct values and melting plots obtained for the samples. A total number of 92 samples were selected to proceed to the microbiome analysis step.

Virome analysis

Samples were prepared using 0.45- μ m-pore-size disc filters (Minisart Syringe Filter, Sartorius) for viral enrichment [11]. RNA isolation was performed using QIAamp Viral RNA Mini Kit (Qiagen) according to the manufacturer's protocol. Following first strand cDNA synthesis using V-N primer: 5'-GTT TCC CAG TCA CGA TC NNNNNN-3'. [12], second-strand cDNA was synthesized using Klenow Large Fragment (Takara). The resulting double-stranded DNA was then amplified in a 28-cycle PCR reaction. Amplicons were gel electrophoresed and analyzed by Bioanalyzer (Agilent) to confirm the presence of viral nucleic acid prior to next-generation sequencing (Figure 1).

Microbiome analysis

Bacterial 16s rRNA and viral screenings were carried out in

Table 1: Primers used for real-time PCR analysis.

Target Virus	PCR Primer sequence	References
Enterovirus D68	Forward: TGT TCC CAC GGT TGA AAA CAA Reverse: TGT CTA GCG TCT CAT GGT TTT CAC	[6]
WU polyomavirus	Forward : AAC CAG GAA GGT CAC CAA GAA G Reverse: TCT ACC CCT CCT TTT CTG ACT TGT	[7]
KI polyomavirus	Forward : CTA TCC CTG AAT ACC AGT TGG AAA C Reverse : GTA TGA CGC GAC AAG GTT GAA G	[7]
Human parechovirus 1, 3, 6	Type 1 Forward: TCG TGG GGT TCA CAA ATG GA Reverse: TCC TGA GCC GAT GTT AAG CC	[8]
	Type 3 Forward: GAC AAC ATC TTT GGT AGA GCT TGG T Reverse: TTT TGC CTC CAG GTA TCT CCA T	
	Type 6 Forward: CTG AGG ACG GTT AGG GAC AC Reverse: ACG ATT TTG CGA ACG TGG TG	
Pteropine orthoreovirus	Forward: CCA CGA TGG CGC GTG CCG TGT TCG A Reverse : ACG TAG GGA GGC GCA CGA GGT GGA	[9,10]

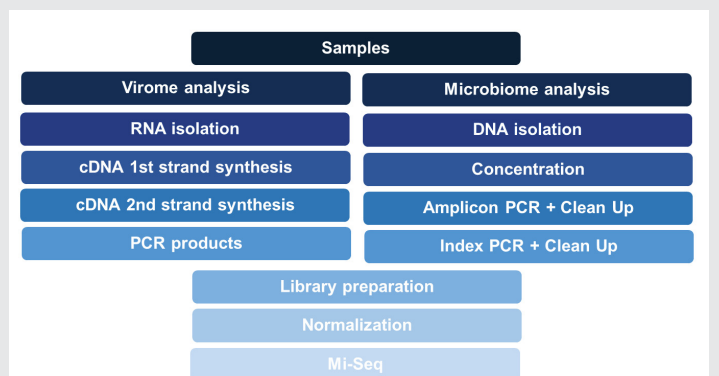


Figure 1: Scheme of Virome/microbiome analysis.

the following manner: RNA/DNA isolation, PCR amplification, library preparation, and MiSeq sequencing and data analysis (Figure 1). Hypervariable regions V3 and V4 were selected for amplicon sequencing of the 16s rRNA gene [13,14]. DNA was extracted using a Power Soil DNA Isolation kit (Mo Bio Laboratories, Inc., Carlsbad, CA, USA) and concentrated using Hyper-Vac. The resulting nucleic acid was amplified using limited cycle PCR with Illumina-provided primers targeting the V3 and V4 region (Forward 5'-TCG TCG GCA GCG TCA GAT GTG TAT AAG AGA CAG CCT ACG GGN GGC WGC AG-3'; Reverse 5'-GTC TCG TGG GCT CGG AGA TGT GTA TAA GAG ACA GGA CTA CHV GGG TAT CTA ATC C-3'). V3 and V4 sequence reads were obtained using Nextera XT DNA library preparation kit and MiSeq Reagent Kit v3(Illumina). All quantifications of amplifications were performed using a NanoDrop spectrophotometer (NanoDrop Technologies, Inc.), Agilent High Sensitivity DNA kit (Agilent Technologies, Inc.), and Xpose Compact Spectrometer (Trinean). Amplification products were purified using the Agencourt AMPure XP system (Beckman Coulter Inc.). Negative controls were included throughout the progression of this study. Amplicon libraries for both viral and bacterial analysis used 8% PhiX control (Illumina, Inc.).



Metagenomic analysis

The metagenome profile included the counts of reads that aligned to each contig, which could be analyzed using metagenomic tools and the R package (R Foundation for Statistical Computing, Vienna, Austria). The samples were then filtered based on the relative abundance of each OTU identified. The relative abundance was calculated based on the MiSeq sequence read data. Bacterial community profiles of the remaining samples included OTUs with >1% relative abundance. The Metagenomics workflow classifies bacteria from a metagenomic sample by amplifying specific regions in 16S ribosomal RNA. Reads are classified using a Greengenes taxonomy database of 16S ribosomal RNA data. The Metagenomics workflow demultiplexes indexed reads, generates FASTQ files, and then classifies reads and generated a classification of reads at several taxonomic levels: kingdom, phylum, class, order, family, and genus or species. The classification step uses ClassifyReads, a proprietary algorithm that provides a species-level classification for paired-end reads. This process involves matching short subsequences of the reads to a set of 16S reference sequences. The accumulated word matches for each read are used to assign reads to a

particular taxonomic classification. Analysis results list the total number of classified clusters for each sample at each taxonomic level.

Results

For microbiome analysis, amplification of the V3 and V4 region was confirmed as peaks around 550bp were detected via Bioanalyzer. The final study group consisted of 92 patients whose mean (+ SD) age was 67 + 16 SD years (range 19 to 93). Nasopharyngeal bacterial profiles of the patient samples were comprised of the aligned MiSeq sequence reads. As summarized in Figure 2, a total of 100 OTUs were classified up to the genus level with > 1% abundance in at least one sample. Actinobacteria, Firmicutes, and Proteobacteria dominated the bacterial profiles in the phylum level, of which *Corynebacterium*, *Staphylococcus*, *Streptococcus*, *Haemophilus*, *Moraxella*, *Acinetobacter*, and *Rhizobium* genera were detected with high frequency. In detail, the microbial composition of samples is summarized at the phylum, class, order, family, and genus level and portion in Figure 2. Common bacterial pathogens were present as either single pathogens or in combination with other organisms in the patient samples.

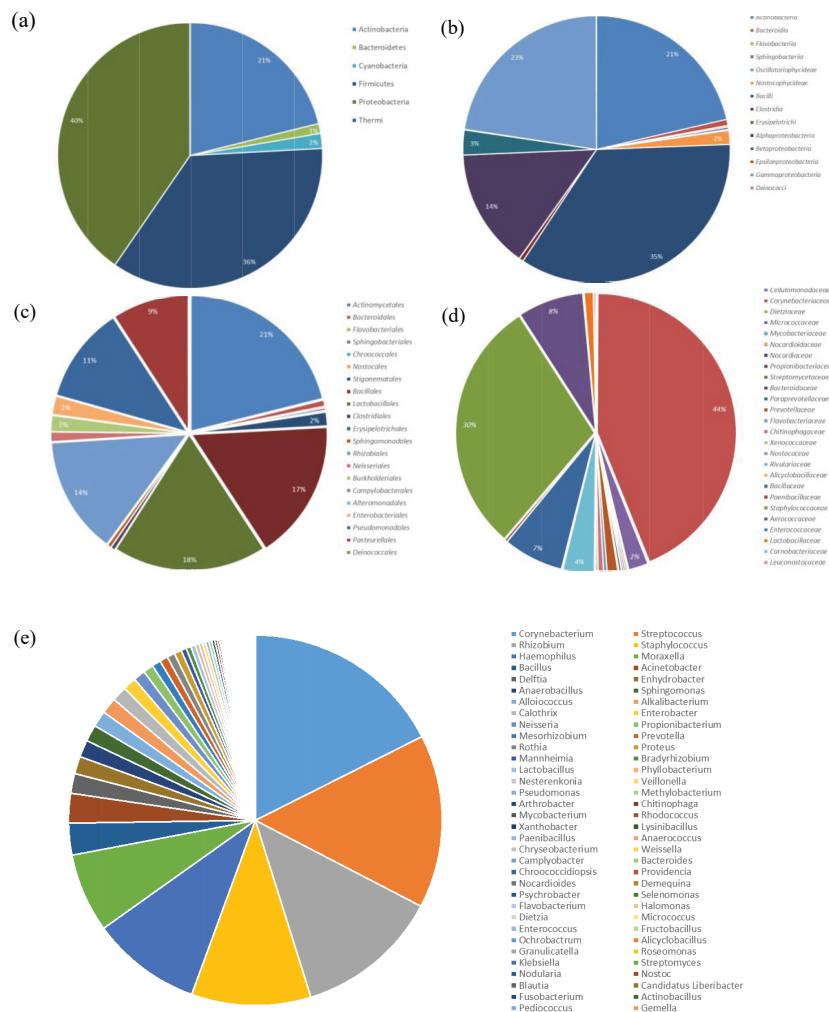


Figure 2: Major (>1%) groups of bacteria community structures at each level : (a) Phylum, (b) class, (c) order, (d) family, and (e) genus found in samples.

These findings indicated concurrent nasopharyngeal carriage of the following pair of genera in Figure 3: Selenomonas and Rhodococcus (correlation of 1), Anaerococcus and Rhodococcus (correlation of 0.94), Veillonella and Bacteroides (correlation of 0.97), and Veillonella and Prevotella (correlation of 0.97). In comparison, no obvious pattern of the nasopharyngeal carriage was observed between the different hospital locations, and no significant difference in the microbial community structure was observed between the male and female patients. As seen in Figure 4, Age, on the other hand, was a definitive factor in the microbiome composition for patients between the ages of over 50's. Elderly patients included in this age group were the only ones whose nasopharynx was colonized with either Alloiooccus or Alkalibacterium. Furthermore, patients between their 60s and 70s shared a highly similar structure of microbial community at the genus level, with a visible overall pattern of pathogen incidence. For virome analysis, we were unable to obtain any viral sequence reads since the low viral concentration resulted in a failure of cluster generation on the Illumina Miseq platform.

Discussion

This prospective cohort study utilized the NGS technique to investigate the nasopharyngeal microbiota of patients hospitalized with CAP syndrome in 8 different tertiary care hospitals in South Korea. Prior to the study, negative results for 16 common respiratory viruses were confirmed for the nasopharyngeal specimens. Viruses selected for real-time PCR analysis were of interest to the researchers because of their novelty in Korea and their increasing presence in neighboring countries. Despite preparing the samples for viral enrichment, utilizing filtration, concentration, and amplification of viral nucleic acid, both real-time PCR and NGS techniques failed to detect any viruses present in the nasopharyngeal specimens. Consequently, the study was geared towards OTUs that were frequently isolated from the human nasopharynx and known as etiological agents of CAP [15–17]. The concurrent nasopharyngeal carriage of Streptococcus, Haemophilus, and Moraxella species by the patients are consistent with previous reports on the association of this particular set of bacteria with CAP. Pulmonary pathogens like Veillonella, on the other hand,

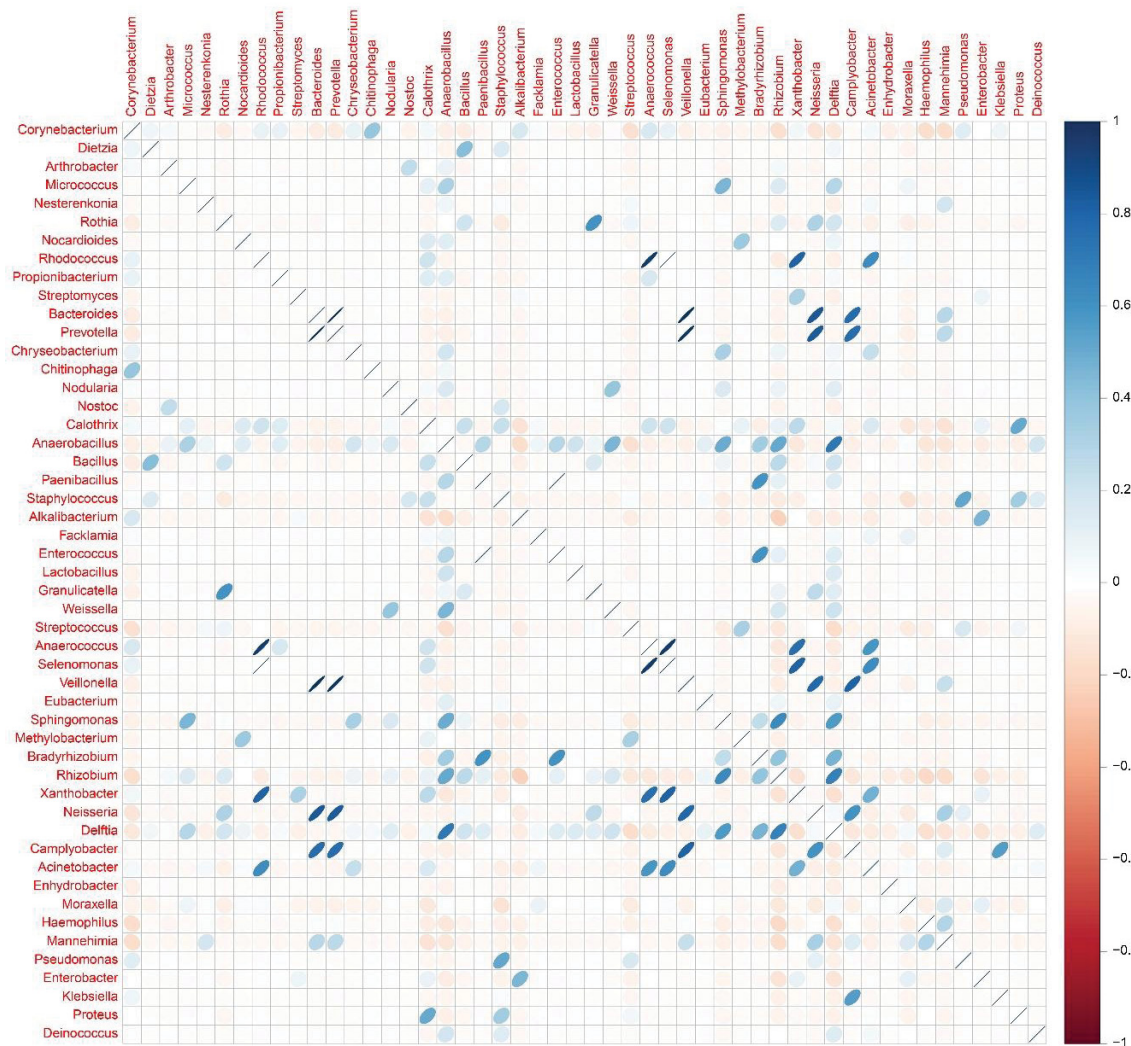


Figure 3: Correlation plot between each genus level with > 1% abundance .

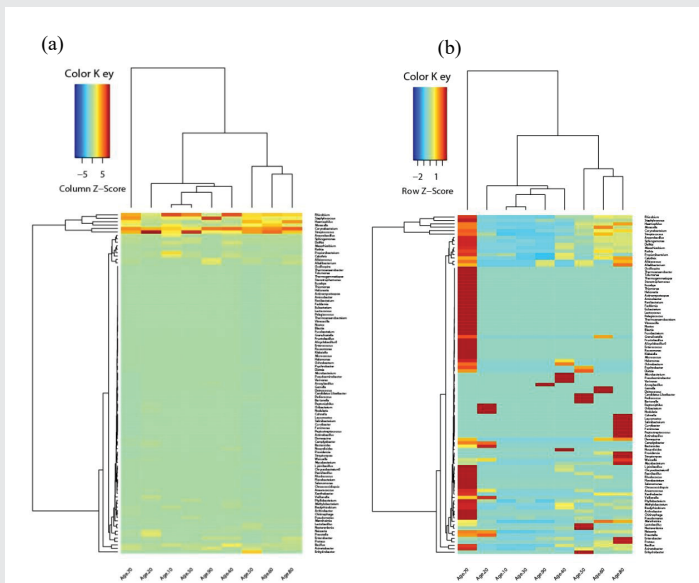


Figure 4: Heat map conducted based on R: (a) Column (genus), (b) Row (age groups).

have been associated with Ventilator-Associated Pneumonia (VAP) and aspiration pneumonia [18]. *Veillonella* is part of the normal human flora of sites such as the oral cavity and upper respiratory tract [19]. In the nasopharyngeal bacterial profiles of the pneumonia patients, *Veillonella* was present in combination with either *Bacteroides* or *Prevotella*. According to Falagas, et al. there has been the isolation of *Bacteroides* and *Prevotella* species from nearly all anatomic sites of infection [20]. In another study, *Veillonella* was also isolated along with *Prevotella* in VAP patients [21]. Isolation of *Veillonella* in combination with either of these two species, however, has not been previously reported for CAP. As previously mentioned, variations were observed in the nasopharyngeal microbiota between patients according to their age and location. The gender of the patients did not have significant implications on the bacterial community structure. The data indicate that the microbial communities of patients between the age of 60 and 70 were highly similar in composition. Furthermore, there were 2 bacterial species that were only present in elderly patients. For more detailed bacterial community structure, *Alkalibacterium* and *Alloiococcus* were only isolated from the nasopharynx of patients between the age of 50 and 90. *Alkalibacterium* species are lactic acid bacteria commonly found in marine organisms and salted foods like olives [22]. According to H. Liang, et al. *Alkalibacterium* species were among the main lactic acid bacteria isolated from paocai, Chinese fermented pickles. In Korea, kimchi, which is made of fermented cabbage, can be seen as equivalent to olives and the Chinese paocai. Although previous studies of the microbial community involved in kimchi fermentation have described lactic acid bacteria such as *Lactobacillus* and *Lactococcus* as the dominant players, results of this study indicate that *Alkalibacterium* may also be widespread in Korean fermented foods like kimchi [23]. The prevalence of *Alkalibacterium* in only elderly patients demonstrates that increased consumption of fermented foods is associated with the elderly population. *Alloiococcus* was another taxon present specifically in the

nasopharyngeal microbiota of elderly patients. Contrary to our findings in the elderly population, previous studies have reported nasopharyngeal carriage of *Alloiococcus* species in children, especially in those who are prone to otitis. Although *Alloiococcus* has been deemed as part of the normal flora of the ear, these bacteria have also been shown to have an immune-stimulatory ability [24]. These findings indicate nasopharyngeal carriage of bacteria that typically reside in the human mouth or ear. This can be explained by the fact that the human nose is an intermediary between the ear, mouth, and lower respiratory tract, facilitating microbial colonization at these sites [25]. *Rhizobium* was another atypical taxon that was detected with high frequency in the nasopharyngeal specimens, across a range of patient ages and locations. *Rhizobium* species are plant pathogens rarely associated with human infections or identified as common residents of the human nose [26]. On one hand, organisms of the Proteobacteria phylum including *Bradyrhizobium* and *Rhizobium* species have been reported as contaminants isolated from laboratory saline solution and commercial kits for DNA isolation [27]. On the other hand, *R. radiobacter* is a known opportunistic human pathogen causing infections in patients with indwelling foreign material, such as catheters or prosthetics, or underlying diseases [28]. Since *R. radiobacter* is of the same genus, it may be possible for the *Rhizobium* species sequenced in this study to cause human infections, as well. Further studies should be done to test this hypothesis. Although differences in the bacterial composition existed between patients, these findings support earlier reports on the pathogen incidence associated with CAP of bacterial etiology.

Conclusion

Although the samples were obtained from patients with different general characteristics, such as age, gender, and location, the nasopharyngeal microbiota revealed common dominant species of bacterial pathogens associated with pneumonia, and respiratory infections in general.

Because samples were collected mostly from elderly patients, the viral concentration was insufficient for NGS analysis. Therefore, further investigations of the viral microbial community structure of patients with pneumonia syndrome are in progress using pediatric samples.

The large number of elderly patients present in the study group also highlights the importance of early detection of pneumonia, since increased rates of morbidity and mortality due to pneumonia are associated with the elderly population.

Our study demonstrated how NGS is a useful platform for determining the composition of nasopharyngeal microbiota. However, sufficient concentration of pathogens is required to yield sequence reads as seen in our attempts to identify any viruses in the samples.

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